

S/N 09/821335



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christoffersen et al.

Serial No.: 09/821335

Group Art Unit: 2872

Filed: 3/29/01

Docket No.: 980.1088US01

Title: STACKED PLANAR INTEGRATED OPTICS AND TOOL FOR
FABRICATING SAME

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on September 20, 2001

Iain A. McIntyre

**Information Disclosure Statement
Under 37 C.F.R. §1.97(b)**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicant(s) respectfully submit(s) the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This statement should be considered because it is filed before the mailing date of the first Office Action on the merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the

RECEIVED
SEP 27 2001
TC 2800 MAIL ROOM

U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §.1.98(d).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicant(s) do(es) not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

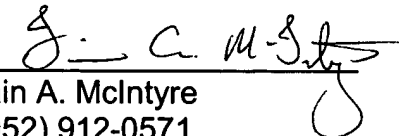
Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicant(s) request(s) that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC
6500 City West Parkway, Suite 100
Minneapolis, MN 55344
(952) 912-0523

Date: September 20, 2001

By: 
Iain A. McIntyre
(952) 912-0571
Reg. No. 40,337
IAM/LHT



09/821335

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christoffersen et al.

Serial No.: 09/821335

Group Art Unit: 2872

Filed: 3/29/01

Docket No.: 980.1088US01

Title: STACKED PLANAR INTEGRATED OPTICS AND TOOL FOR
FABRICATING SAME

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on September 20, 2001

Iain A. McIntyre
Name

[Signature]
Signature

RECEIVED
SEP 27 2001
22800 MAIL ROOM

Assistant Commissioner for Patents
Washington, D.C. 20231

- ☒ Information Disclosure Statement, Form 1449, copies of 15 references
- ☒ Transmittal Sheet
- ☒ Return postcard

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC
6500 City West Parkway, Suite 100
Minneapolis, MN 55344
(952) 912-0523

Date: September 20, 2001

By: [Signature]

Iain A. McIntyre
(952) 912-0571
Reg. No. 40,337
IAM/LHT